

# ÉTALE MODELS OF MODULI SPACES OF THETA CHARACTERISTICS

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- Let  $B = \text{Spec}R$ ,  $R$  a DVR. Let  $C$  be a stable curve. A **general smoothing**  $f: \mathcal{C} \rightarrow B$  of  $C$  is a proper and flat morphism  $f$ ,  $C = f^{-1}(0)$ ,  $\mathcal{C}^* \rightarrow B^* := B - 0$  smooth, and  $\mathcal{C}$  smooth.

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  - (1) describe a distinguished  $B$ -model of  $S_f^*$ , étale over  $B$ , via combinatorial invariants of  $C$ .
  - (2) give a modular interpretation of such a model.

# Motivations

- One can see that the maximal étale  $B$ -model of  $S_f^*$  (w.r.t. inclusion) is the **Néron model**  $N(S_f^*)$  of  $S_f^*$ .

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- A Néron model  $N(X_K)$  of  $X_K$  is a smooth, separated, finite type  $B$ -scheme,  $N(X_K)/K = X_K$  and satisfying the *Néron mapping property*.  
NMP: *For every smooth  $B$ -scheme  $Y$  and morphism  $\phi_K: Y_K \rightarrow X_K$ , there exists a unique extension  $\phi: Y \rightarrow N(X_K)$  of  $\phi_K$ .*

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- If  $N(X_K)$  exists, it is unique up to a unique isomorphism.

# Combinatorial description



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## THEOREM

(Chiodo ('08)).  $N(S_f^*)$  is finite over  $B$  if and only if the dual graph of  $C$  has cycles that always share an even number of edges.

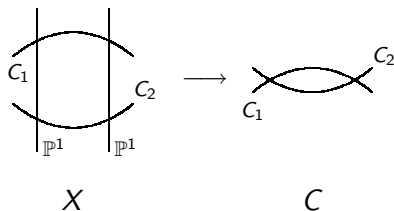
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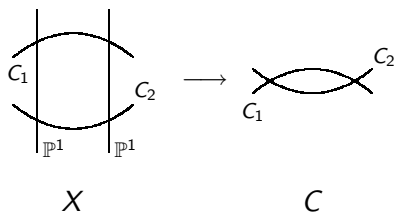
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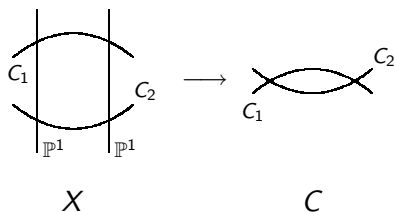
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- A **spin curve** of  $C$  is  $\xi = (X_\xi, L, \alpha)$ , where  $X_\xi$  is a blow-up of  $C$ ,  $L \in \text{Pic}(X_\xi)$  and  $\alpha: L^{\otimes 2} \rightarrow \omega_{X_\xi}$  is a homomorphism, such that:
  - $L|_E \simeq \mathcal{O}_E(1)$  for each  $E$  exceptional.
  - $\alpha$  is an isomorphism away from the exceptional components.



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- Let  $f: \mathcal{C} \rightarrow B$  be a general smoothing of a stable curve  $C$  and  $S_f^*$  be the moduli scheme of theta characteristics on the smooth fibers of  $f$ .
- $\overline{S}_f$  compactifies  $S_f^*$  over  $B$ .  $\overline{S}_f \rightarrow B$  is finite and the fiber over 0 parameterizes the set of (the isomorphism classes of) spin curves of  $C$ .

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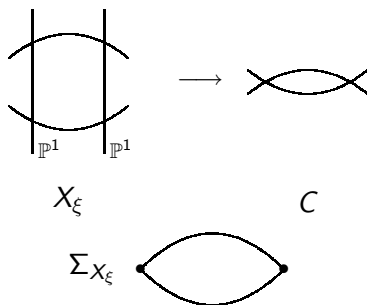
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( $\text{char}(k) = 0$ ). Let  $f: \mathcal{C} \rightarrow B$  a general smoothing of a stable curve  $C$  with  $\text{Aut}(C) = \{\text{id}\}$ . Consider the moduli space  $\overline{S}_f$  of spin curves. Let  $\nu: \overline{S}_f^\nu \rightarrow \overline{S}_f$  be its normalization. The following properties are equivalent for any  $\xi = (X_\xi, L, \alpha) \in \overline{S}_f$ :

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- For example, if  $C$  has 2 components and 2 nodes, then  $N(S_f^*)$  is finite over  $B$ .



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(Caporaso ('06)). Assume that  $(d - g + 1, 2g - 2) = 1$ . Let  $\overline{\mathcal{M}}_g$  be the stack of stable curves. Then there exists a smooth DM-stack  $\mathcal{P}_{d,g}$ , with a natural strongly representable morphism to  $\overline{\mathcal{M}}_g$ , such that:

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- $\overline{\mathcal{P}}_{d,g}$  parameterizes (equivalence classes of) *balanced line bundles* of degree  $d$  over semistable curves of genus  $g$ .
- $\mathcal{P}_{d,g}$  is the stack version of the open subset of  $\overline{\mathcal{P}}_{d,g}$  parameterizing balanced line bundles of degree  $d$  on stable curves of genus  $g$ .

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- Esteves constructed a different compactified Jacobian.

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- The compactified Jacobian  $\overline{\mathcal{J}}_f^d \rightarrow B$  is:

$$\overline{\mathcal{J}}_f^d = \{ \text{torsion free, rank-one, simple sheaves} \\ \text{of degree } d \text{ on } f^{-1}(b), b \in B \} / \sim$$

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Let  $\mathcal{E}$  be a vector bundle on  $\mathcal{C}$  of rank  $r > 0$  and degree  $r(g - 1 - d)$ , i.e.  $\mathcal{E}$  is a **polarization** on  $\mathcal{C}$ .

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- Let  $f: C \rightarrow \{pt\}$  be the trivial family,  $C$  a curve. Let  $p$  be a smooth point of  $C$ . Then  $I$  over  $C$  is  $p$ -quasi-stable w.r.t.  $\mathcal{E}$  if for every subcurve  $\emptyset \neq Y \subsetneq C$ :

$$\chi(I_Y) \geq -\frac{\deg \mathcal{E}|_Y}{r}$$

and  $>$  holds for every  $p \in Y$ , where  $I_Y = (I|_Y)/\text{Tors}$ .

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- We have a distinguished subset  $\overline{\mathcal{J}}_{\mathcal{E}}^{\sigma}$  of  $\overline{\mathcal{J}}_f^d$ :

$$\overline{\mathcal{J}}_{\mathcal{E}}^{\sigma} = \{ \text{torsion free, rank-one, simple sheaves of degree } d \text{ on } f^{-1}(b), \sigma(b)\text{-quasi-stable w.r.t. } \mathcal{E}|_{f^{-1}(b)}, b \in B \} / \sim$$

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- We have a distinguished subset  $\overline{\mathcal{J}}_{\mathcal{E}}^{\sigma}$  of  $\overline{\mathcal{J}}_f^d$ :

$$\overline{\mathcal{J}}_{\mathcal{E}}^{\sigma} = \{ \text{torsion free, rank-one, simple sheaves of degree } d \text{ on } f^{-1}(b), \sigma(b)\text{-quasi-stable w.r.t. } \mathcal{E}|_{f^{-1}(b)}, b \in B \} / \sim$$

- $\overline{\mathcal{J}}_{\mathcal{E}}^{\sigma}$  is a proper  $B$ -scheme.

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- Let  $p \in C^{sm}$ . A  $f$ -twister  $T$  of  $C$  is  $p$ -**admissible** if for every  $L \in \text{Pic}(C)$  such that  $L^{\otimes 2} \simeq \omega_C \otimes T$ , then  $L$  is  $p$ -quasi-stable with respect to  $\mathcal{E} = \mathcal{O}_C$ .



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  - (i) there are no roots of  $\omega_C \otimes T$
  - (ii) there is a unique partition of  $C$  into non-empty subcurves  $Z_0, \dots, Z_{r_T}$ ,  $Z_h \cap Z_{h'} \neq \emptyset$  if and only if  $|h - h'| \leq 1$  and  $p \in Z_0$ , such that, if we set  $D = \sum_{0 \leq i \leq r_T} i \cdot Z_i$ , then  $T \simeq \mathcal{O}_C(D)|_C$ .

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Assume that  $\text{Aut}(C) = \{\text{id}\}$ . Let  $p \in C^{\text{sm}}$ .

(1) Then:

$$N(S_f^*) \simeq \frac{\bigcup_{T \in \text{Ad}_f^1(p)} S_f(T)}{\sim},$$

where  $\sim$  denotes the gluing along the generic fiber of  $S_f(T) \rightarrow B$ .

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(2) Let  $\sigma$  be a section of  $f$  through the  $B$ -smooth locus of  $\mathcal{C}$  and set  $\mathcal{E} = \mathcal{O}_{\mathcal{C}}$ . If  $(J_{\mathcal{E}}^{\sigma})^{\text{free}}$  is the open subscheme of  $J_{\mathcal{E}}^{\sigma}$  parameterizing locally free sheaves, then there exists an immersion:

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The choice of an element of  $Ad_f^1(p)$  corresponds to the choice of an equivalence class of multidegrees in Caporaso ('06).

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In Busonero ('07) it is shown that  $N(\text{Pic}^d(\mathcal{C}^*)) \simeq (J_{\mathcal{E}}^{\sigma})^{\text{free}}$ .

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$$\mathrm{Ad}_f^i(p) = \mathrm{Ad}_f^1(C_1) \text{ if } i \geq 1$$

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- It is easy to check that  $N(S_f^*)$  is finite over  $B$ .

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